



**Jefferies & Company, Inc.**  
Harborside Financial Center, Plaza III, Suite 705  
Jersey City, NJ 07311

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## Authorization to Provide Electronic Delivery of Certain Account Documents

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### Consent to Delivery of Trade Confirmations, Account Statements, Consolidated Form 1099 and Detailed Income Statements via Electronic Media in Lieu of Paper Documents Mailed to your Address of Record.

As you know, Jefferies & Company, Inc. acts as clearing agent for the introducing broker servicing your account.

Through your Introducing Broker and by signing below, you hereby consent to receiving from Jefferies Trade Confirmations, Account Statements, Consolidated 1099 forms and Detailed Income Statements electronically at the electronic (e-mail) mail address you provide set forth below. You also waive your right to receive these documents mailed to your address of record. This Information will be provided via an on-line posting on Jefferies' secure website. You will receive an e-mail notification advising you when an electronic document has been posted to Jefferies' secure website. You acknowledge that you may incur expenses (such as your designated on-line service provider charges) associated with the electronic delivery of information to you and you agree that you will be solely responsible for all such expenses.

In addition, you acknowledge and agree that:

- a. Jefferies may, but is not required to, send you paper copies of the documents described above that you consent to be delivered to you electronically. Once you have consented to receive the documents described above electronically, you may still request paper copies of any of the documents described above by calling your Jefferies representative; however, Jefferies may charge you a reasonable fee for the delivery of paper copies. You agree, however, that neither your request for nor our delivery of a paper copy will imply that the previous electronic delivery of the information did not constitute good and effective delivery.
- b. You may revoke your consent to electronic delivery of any or all of the documents at any time by contacting your Jefferies representative.
- c. The scope of this consent to electronic delivery includes: Trade Confirmations, Account Statements, your annual Consolidated Form 1099, if applicable, of which may include forms 1099-DIV, 1099-INT, 1099-OID, 1099-B and 1099-MISC (including your Detailed Income Statement).
- d. You will promptly notify Jefferies in writing of any change in your e-mail address. You may provide notice of a change in your e-mail address by calling your Account Executive. Until Jefferies has received and had a reasonable time to act on any notice of a change, we may continue to send information to your previous e-mail address and any such information will be deemed to have been delivered to you, whether or not you have actually received it. Furthermore, you authorize Jefferies to deliver information to you by sending you an e-mail notice that directs you to a website that contains the information and from which it can be read and printed. You agree that our sending of the notice will constitute good and effective delivery of the information to you, regardless of whether you actually access the website containing the information.
- e. In the event we are notified by our service provider that the e-mail notification advising you that an electronic copy of a document is available on our website has not been delivered due to a reason beyond our control (for example a bad e-mail address or a problem with your Internet Service Provider), we may revoke your electronic delivery status and mail you paper copies.
- f. The documents and other information delivered electronically may be formatted in Adobe Acrobat's portable document format ("PDF"), hypertext mark-up language ("HTML") or other file formats Jefferies deems appropriate. In order to view or print documents provided in PDF, you will have to obtain the Adobe Acrobat Reader, which is available free of charge at Adobe's website (located at [www.adobe.com](http://www.adobe.com)), and install it on your computer. You are responsible for having any necessary hardware, software or other technology to access the electronic communications and any information sent electronically, including a printer or other device to download and save any information that you may wish to retain.
- g. Internet Communications. Jefferies will take measures that it believes appropriate to protect the confidentiality of information that it transmits to you over the Internet. You acknowledge, however, that the Internet is not a secure network and that communications transmitted over the Internet may be accessed by unauthorized or unintended third parties. You acknowledge that you should not transmit any personal or identifying information (such as account numbers, credit or debit card numbers, Social Security numbers, passport or visa numbers or Passwords) via the Internet unless you are certain that the transmission will be secure and encrypted. You further acknowledge that Jefferies may be unable to assist you with problems that result from difficulties that you may encounter while logging on to or accessing your electronic communications via the Internet.

Customer Account Number: \_\_\_\_\_ User ID: \_\_\_\_\_

Print Customer Name: \_\_\_\_\_ Email Address: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_



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- e. In the event we are notified by our service provider that the e-mail notification advising you that an electronic copy of a document is available on our website has not been delivered due to a reason beyond our control (for example a bad e-mail address or a problem with your Internet Service Provider), we may revoke your electronic delivery status and mail you paper copies.
- f. The documents and other information delivered electronically may be formatted in Adobe Acrobat's portable document format ("PDF"), hypertext mark-up language ("HTML") or other file formats Jefferies deems appropriate. In order to view or print documents provided in PDF, you will have to obtain the Adobe Acrobat Reader, which is available free of charge at Adobe's website (located at [www.adobe.com](http://www.adobe.com)), and install it on your computer. You are responsible for having any necessary hardware, software or other technology to access the electronic communications and any information sent electronically, including a printer or other device to download and save any information that you may wish to retain.
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