

## NEW ACCOUNT INVESTMENT OBJECTIVE AND RISK FACTOR

Investment Objective (please check one):

\_\_\_\_\_ **Capital Preservation** - a conservative investment strategy characterized by a desire to avoid risk of loss.

\_\_\_\_\_ **Income** – Strategy focused on current income rather than capital appreciation.

\_\_\_\_\_ **Growth** – Investing in stocks with strong earnings and/or revenue growth or potential.

\_\_\_\_\_ **Speculation** – Taking larger risks, usually by frequent trading, with hope of higher than average gain.

Risk Factor (please check one):

\_\_\_\_\_ **Conservative** – Low

\_\_\_\_\_ **Moderate** – Medium

\_\_\_\_\_ **Aggressive** – High

By signing below, I acknowledge the information provided above is accurate and correct.

Signature \_\_\_\_\_ Date \_\_\_\_\_

Name \_\_\_\_\_  
(Please print)