

NEW ACCOUNT INVESTMENT OBJECTIVE
& JOINT ACCOUNT ADDENDUM

Current Investment Objective Definitions (please check where appropriate):

_____ **Income** (*code 4*) – Strategy focused on current income rather than capital appreciation.

_____ **Growth** (*code 3*) – Investing in stocks with strong earnings and / or revenue growth or potential.

_____ **Speculation** (*code 6*) – Taking larger risks, usually by frequent trading, with hope of higher than average gain.

_____ **Capital Preservation** (*code 5*) – a conservative investment strategy characterized by a desire to avoid risk of loss.

_____ **Other** (*code 8*) – self defined objective.

For Joint Accounts Only:

Please provide Joint Holders Date of Birth: _____

By signing below, I acknowledge the information provided above is accurate and correct.

Signature _____ Date _____