

NEW ACCOUNT INVESTMENT OBJECTIVE AND RISK FACTOR

Investment Objective (please check one):

_____ **Capital Preservation** - a conservative investment strategy characterized by a desire to avoid risk of loss.

_____ **Income** - Strategy focused on current income rather than capital appreciation.

_____ **Growth** - Investing in stocks with strong earnings and/or revenue growth or potential.

_____ **Speculation** - Taking larger risks, usually by frequent trading, with hope of higher than average gain.

Risk Factor (please check one):

_____ **Conservative** - Low

_____ **Moderate** - Medium

_____ **Aggressive** - High

By signing below, I acknowledge the information provided above is accurate and correct.

Signature _____ Date _____

Name _____
(Please print)