

BNP Paribas Prime Brokerage Inc.
 Attn: ACAT Department
 525 Washington Blvd. – 9th FL
 Jersey City, NJ 07310

Account Transfer Form
Clearing Number 2154

Use this form to transfer your current account to BNP Paribas Prime Brokerage, Inc. (BNPP) from another brokerage firm, bank or mutual fund company, or to transfer funds and/or securities from another financial institution into an existing BNPP account. Please confirm that you have completed the steps listed below prior to returning the form to BNP Paribas Prime Brokerage, Inc.

- Attach a copy of your most recent statement of the account you are transferring (this is required for processing).
- Be sure to complete a separate form for each account you would like to transfer.
- Sign and return this form to your Registered Representative.

(SEE REVERSE SIDE FOR BNPP DELIVERY INSTRUCTIONS)

1 Account Information (PLEASE NOTE: REGISTRATION MUST BE IDENTICAL TO THE REGISTRATION ON THE ACCOUNT YOU ARE TRANSFERRING).			
Type of BNPP account transferring to (Please check one):		BNP Paribas Prime Brokerage, Inc. Account Number	
<input type="checkbox"/> Cash/Margin	<input type="checkbox"/> Roth IRA	<input type="checkbox"/> Profit Sharing	
<input type="checkbox"/> IRA	<input type="checkbox"/> Money Purchase Plan	<input type="checkbox"/> Simple IRA	
<input type="checkbox"/> IRA Rollover	<input type="checkbox"/> Coverdell Savings Account	<input type="checkbox"/> SEP IRA	
Name and Title of the account Being Transferred (as shown on your statement)		Account number at Delivering Firm	
Account Holder Social Security Number		Joint Account Holder Social Security Number (if applicable)	
Name and Address of Firm from which you are Transferring			
2 Brokerage Account Transfer (PLEASE CHECK ONE)			
<input type="checkbox"/> I wish to transfer my entire account. (Please skip to Section 5)		<input type="checkbox"/> I wish to transfer only those assets listed below: (Attach additional page if necessary.)	
Description of Asset	Number of shares or "All"	Description of Asset	Number of shares or "All"
3 Mutual Fund Transfer (COMPLETE THIS SECTION ONLY IF YOU ARE TRANSFERRING DIRECTLY FROM A MUTUAL FUND COMPANY. PLEASE USE ONE FORM FOR EACH FUND ACCOUNT NUMBER)			
Mutual Fund Name	Number of Shares or "All"	Type of Handling (check one)	
		<input type="checkbox"/> Sell Shares/ Transfer Cash	
<input type="checkbox"/> Transfer Shares			
4 Bank, Savings & Loan, Credit Union Transfer, or Other (PLEASE CHECK ONE)			
Please transfer all cash in my account _____		Please transfer only \$ _____	
<input type="checkbox"/> Liquidate CD immediately and transfer to cash. I am aware of and acknowledge any penalties I will incur from early withdrawal.			
<input type="checkbox"/> Liquidate CD at maturity and transfer to cash. Maturity Date: _____ (Please submit this form 2-3 weeks before maturity date.)			
5 Authorization Agreement and Signature(s) (IF JOINT ACCOUNT, BOTH ACCOUNT HOLDERS MUST SIGN).			
Please transfer my securities account as indicated, to BNP Paribas Prime Brokerage, Inc. (BNPP), who has been authorized by me/us to make payment to you of the debit balance or to receive payment of the credit balance in my/our securities account. I/we understand that to the extent any assets in my/our securities account are not readily transferable, with or without penalties; such assets may not be transferred within the time required by New York Stock Exchange Rule 412 or similar rule of the National Association of Securities Dealers, Inc. or other designated examining authority.			
Unless otherwise indicated in the instructions above, I/we authorize you to liquidate any proprietary money market fund assets that are in part of my/our securities account and transfer the resulting credit balance to BNPP. I/we understand that you will contact me/us with respect to the disposition of any other assets in my/our securities account that are either non-transferable or non-deliverable. If certificates or other instruments in my/our securities account are in your physical possession, I/we instruct you to transfer them in good deliverable form, including affixing any necessary tax waivers, to enable BNPP to transfer them in its name for the purpose of sale, when and as directed by me/us. I/we further instruct you to cancel all open order from my/our securities account on your books. I/we affirm that I/we have destroyed or returned to you any credit/debit cards and unused checks given to me/us in connection with my/our securities account.			
For Retirement Account: I acknowledge that Banc of America Securities reserves the right to review all assets being transferred prior to final acceptance as successor trustee. I authorize the resigning trustee to deduct any outstanding fees due to the resigning trustee from the credit balance in my account. If my account does not contain a credit balance, or if the credit balance in the account is insufficient to satisfy any outstanding fees due to the resigning trustee, I authorize the resigning trustee to liquidate the assets in my account to the extent necessary to satisfy any outstanding fees due to the resigning trustee. I attest that if I am over 70 1/2 I have taken my required mandatory distribution.			
X _____ Signature of Account Holder		X _____ Signature of Joint Account Holder (if any)	
Date(mm/dd/yyyy)		Date (mm/dd/yyyy)	
For Internal Use Only			
Letter of Acceptance – To the prior custodian/trustee of the retirement account designated for transfer, please be advised that BNP Paribas Prime Brokerage Inc., does hereby accept appointment as successor custodian. Tax ID # 94-2842977			
Custodian Authorized Signature		Contact Name	Date (mm/dd/yyyy)
X _____			